

# COVER YOUR EXECUTIVE EXPOSURE WITH LOCKTON AS YOUR ADVISOR



## Executive & Financial Risks

The global financial community faces a complex set of regulatory, operational and systemic risks. Our role is to help your business deal with these risks; protecting your corporate balance sheet, whilst bringing certainty and peace of mind to executive boards.

Our highly skilled and experienced LFS Asia Pacific team provides our clients – including financial and commercial organisations of all types and sizes, private equity, international banks and asset management firms – with innovative and competitive insurance programmes. These vary in focus from transactional risk in a merger or acquisition deal, through to comprehensive management liability cover.

## Market Leading Solutions

We provide a range of market leading insurance products that are manuscripted for associations and individual client requirements in professional services including:

- Directors and Officers Liability
- Employment Practices Liability
- Errors and Omissions Insurance
- Commercial Crime Insurance
- Investment Managers Insurance
- Species and Fine Art
- Kidnap and Ransom
- Pension Trustees Liability

## Lockton Financial Services (LFS) Asia-Pacific



Lockton Financial Services (LFS) Asia-Pacific is our regional practice devoted exclusively to the management of Executive and Professional Risks. Our team of specialists will work with you to understand your exposures, reduce your risk and keep you compliant. We offer insurance advisory, broking and claims solutions based around four key areas.

- ❖ Executive and Financial Risks
- ❖ Cyber & Technology
- ❖ Professional Indemnity
- ❖ Transactional Risks

## Specialists

Our team of specialists also provides guidance on related Executive & Financial Risks issues, such as:



Cyber Liability



Transactional Exposure



Litigation Defence



Global Programmes



Office and Personal Insurance



The [Market Update blog](#) explores the ever-changing risk and insurance landscape.



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## Executive & Financial Risks

**EXECUTIVE RISK EXPOSURES**

- FINANCIAL STABILITY OF INSURED**
  - Commodity pricing volatility
  - Economic climate
  - Trade credit/doubtful accounts receivable
- BUSINESS ENVIRONMENT PRESSURES**
  - Antitrust/price-fixing matters
  - Disgruntled shareholders (family)
  - Mergers and acquisitions
  - Noncompete breaches/theft of business stream
- EMPLOYEE MATTERS**
  - Breach of employment contract
  - Discrimination
  - Harassment
  - Retaliation
  - Wrongful termination
- SPONSORED PLAN LIABILITY**
  - Economic climate
  - Failure of stock market to perform
- CONFIDENTIAL DATA LOSS**
  - Lost mobile device/laptop
  - Rogue employee
  - Security failures
- CRIME**
  - Employee theft: property and money/securities
  - Third party theft: fraudulent wire transfers, forgery/alteration, etc.

We serve clients in a range of sectors including:

- Financial Institutions
- Listed Companies
- Healthcare Establishments
- Educational Institutions
- Hospitality and Leisure

## Key Contacts

To find out more get in contact with our D&O specialists in Asia-Pacific

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## Our Approach

We start every client engagement by thoroughly getting to grips with the nature of your business in order to fully understand the extent of your risk exposures. Drawing on our deep industry experience, we will design a tailored risk management programme to effectively manage those exposures, providing solutions and strategic advice to help you make the best long-term decision for the business. Our relationship with the top insurers in this class of business is unsurpassed in the market – you can be confident we will negotiate hard to bring you the best possible deal.

## About Lockton

The **6,500** professionals of Lockton Companies serve more than **50,000** clients around the world with risk management, insurance, employee benefits consulting, and surety services. Lockton is the world's largest privately owned, independent insurance broker, with 2016 revenue of **\$1.34 billion** and offices on six continents. Lockton is recognized for its leadership and innovation in client service. Clients value our expertise and our passion for our work. Our entrepreneurial culture helps us retain **96** percent of our US clients annually, the best record in the business. You can count on Lockton's people to help make your business better.

